# A mixed mode survey on book prices among booksellers 

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## Political Background

- May 2007: Government abolishes Retail Price Maintenance (RPM) by Booksellers in German speaking part of Switzerland (CH-D).
- Parliamentary motion to introduce RPM by law in CH-D and CH-F.
- Observation time: Study mandated by State Secretary for Economic Affairs to FHNW.
- Objective: Impact of RPM abolishment within one year from consumer perspective.
- Today law passed by parliament is challenged by popular referendum.


## Book price index

- Define commodities according to content and form of titles.
- Draw a sample of titles.
- Get selling prices of these titles
- Mean price of title: Sales (number of copies sold) weighted arithmetic mean of selling prices.
- Price of commodity: sales and sampling weighted geometric mean.

$$
p_{\text {commodity }}=\left(\Pi_{\text {titles }} p_{\text {title }}^{q_{\text {till }} w_{\text {title }}}\right)^{1 / \Sigma_{\text {titles }} q_{\text {title }} w_{\text {title }}}
$$

- Basic index per commodity: Selling price / List price.
- Overall index: turnover weighted geometric mean of basic indices.


## Response burden

- Booksellers federation: maximum 30 titles per bookseller (except large companies).
- To attain minimal precision $n_{T}=144$ needed.
- $\Rightarrow$ Split the sample of titles into partial lists of 24 titles.
- $n_{T}=72$ for CH-F.


## Sample of titles

- VLB: 1.3 Mio German titles. electre: 1.2 Mio French titles.
- Distribution centers provide "observable" titles: $N \approx 10^{\prime} 000$.
- Frame: number of delivered copies and list price three months before survey.
- stratification by commodities, allocation proportional to number of delivered copies.


## Sample of booksellers

- Frame of booksellers?
- bookselling may be secondary activity!
- Official statistics approx 475 enterprises.
- Frames elaborated from booksellers association: 545 enterprises.
- In addition: Departmentstores, kiosks, gasoline station shops.


## Overview

Titles

|  |  | High sales |  |  |
| :--- | :--- | :--- | :--- | :--- |
|  | Commodity $k$ | Medium sales | Commodity $k^{\prime}$ |  |
|  |  | Low sales |  |  |

Booksellers


## Control sample

- Stratified sample (large cities and rest).
- Independent of main survey.
- Shop visits by students: quantities or data of delivery and price


## Survey Modes

- Online (EFS Globalpark)
- Paper (from online questionnaire)
- Electronic Files (Word and Excel) from large companies
- Shop visit protocolls.


## Response

| sample | sample size | CH-D | CH-F |
| :--- | :--- | ---: | ---: |
| main | gross | 406 | 197 |
|  | net | 172 | 53 |
|  | resp. rate | 0.42 | 0.27 |
| control | gross | 43 | 33 |
|  | net | 35 | 25 |
|  | resp. rate | 0.81 | 0.76 |
| usable | net | 129 | 44 |
|  | resp. rate | 0.32 | 0.22 |

## Data preparation

- Outlier detection: univariate rules, list prices
- Combination online and control survey

Main survey

|  | Main survey |  |  |  |
| ---: | ---: | ---: | ---: | ---: |
| Control survey | $q_{M} p_{M}$ | $q_{M} x$ | $x p_{M}$ | $x x$ |
| $q_{C} p_{C}$ | $q_{C} p_{C}$ | $q_{C} p_{C}$ | $q_{C} p_{C}$ | $q_{C} p_{C}$ |
| $q_{C} x$ | $q_{M} p_{M}$ | $q_{C} p_{L}$ | $q_{C} p_{M}$ | $q_{C} p_{L}$ |
| $x p_{C}$ | $q_{M} p_{M}$ | $q_{M} p_{C}$ | $0 p_{C}$ | $0 p_{C}$ |
| $x x$ | $q_{M} p_{M}$ | $q_{M} p_{L}$ | $0 p_{M}$ | $0 x$ |

- Missing quantity information for a large enterprise: Sensitivity Analysis


## Weighting

- Combination of samples:
- Inclusion probabilities and response rates per partial list for main survey
- Inclusion probabilities for control survey (with downweighting of "rest").
- Inclusion probabilities for titles.


## Results

|  |  | CH-D | CH-F |  |  |
| :--- | :--- | ---: | ---: | ---: | ---: |
| Content | Form | S/L | SE | S/L | SE |
| Fiction | hard-cover | 0.97 | 0.07 | 0.98 | 0.11 |
|  | paper-back | 1.00 | 0.05 | 1.02 | 0.08 |
| Youth | hard-cover | 0.98 | 0.08 | 1.01 | 0.07 |
|  | paper-back | 1.04 | 0.03 | 1.01 | 0.13 |
| Non-fiction | hard-cover | 0.98 | 0.07 | 1.00 | 0.27 |
|  | paper-back | 0.91 | 0.14 | 1.00 | $*$ |
| Study | hard-cover | 0.99 | 0.07 | 1.00 | 0.26 |
|  | paper-back | 1.05 | 0.13 | 0.99 | 0.41 |
| Total |  | 0.986 | 0.031 | 1.001 | 0.088 |

## Conclusions

- Complexity of combining different surveys (titles and booksellers): Response burden.
- 3 types of questionnaires (large companies, SME, secondary activity) in 2 languages
- Mixed mode (online, paper, files, shop-visits): No mode effect (?).
- Data preparation: Combining data and case by case decisions
- Compromises when weighting.


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